

MAR 06 2003

Page 1 of 1

FORM PTO 1440

PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

4001-0003CIP

APPLICATION NO.

09/843,922

APPLICANT(S)

Masayuki Fukumura, et al.

FILING DATE

April 30, 2001

GROUP

1632

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA1						
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TECH CENTER 1600
MAR 10 2003
1600/290

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL1						<input type="checkbox"/> Yes <input type="checkbox"/> No
AM1						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

RMK	AN	1	Anderson, W. F., <i>Nature</i> , 292(6679 Suppl): 25-30 (1998).
↓	AO	1	Verma, I.M. et al., <i>Nature</i> , 389(6648): 239-242 (1997).
↓	AP	1	Palu, G. et al., <i>Journal of Biotechnology</i> , 68(1): 1-13 (1999).
↓	AQ	1	Crystal, R.G., <i>Science</i> , 270(5235): 404-410 (1995).
RMK	AR	1	Gura, T., <i>Science</i> , 278(5340): 1041-1042 (1997).

EXAMINER

DATE CONSIDERED

4/12/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO 1449		ATTY. DOCKET NO. 4001-0003CIP	APPLICATION NO. 09/843,922
<u>INFORMATION DISCLOSURE STATEMENT</u>		APPLICANT(S) Masayuki Fukumura, et al.	
		FILING DATE April 30, 2001	GROUP 1636

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	01/01/01					
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	AI1	01/01/01					
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FOREIGN PATENT DOCUMENTS

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	AP1						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>MR</i>	AR	1	Grifman M., et al., "Functional redundancy of acetylcholinesterase and neuroligin in mammalian neuritogenesis", <i>Proc. Natl. Acad. Sci. USA</i> , 95(23), pp. 13935-13940 (1998)
	AS	1	
	AT	1	

EXAMINER

R.S. Kelly

DATE CONSIDERED

4/22/04

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FORM PTO 1449		JUN 17 2002		ATTY. DOCKET NO. 4001-0003CIP	APPLICATION NO. 09/843,922
<u>INFORMATION DISCLOSURE STATEMENT</u>				APPLICANT(S) Masayuki Fukumura, et al.	
				FILING DATE April 30, 2001	GROUP 1636

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
<i>RMK</i>	AL1	EP 0864645	9/16/98	EUROPE			<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>RMK</i>	AM1	EP 0753202	9/9/98	EUROPE			<input type="checkbox"/> Yes <input type="checkbox"/> No
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	AP1						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>RMK</i>	AR	<u>1</u>	De Fiebre CM, et al., <i>Neurochem.</i> 18(10): 1089-94 (1993).
<i>RMK</i>	AS	<u>1</u>	Nakanishi M, et al. <i>J. Control Release</i> 54(1): 61-8 (1998).
	AT	<u>1</u>	
EXAMINER <i>R.S. Kelly</i>			DATE CONSIDERED 4/12/04

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			APPLICANT(S) Masayuki Fukumura, et al.	RECEIVED		
			FILING DATE April 30, 2001	GROUP 1636	AUG 03 2001	
U.S. PATENT DOCUMENTS						
PATENT EXAMINER INITIAL <i>MPF</i>	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	
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FOREIGN PATENT DOCUMENTS						
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<i>MLK</i>	AL1	WO 01/20989 A1	3/29/01			<input type="checkbox"/> Yes <input type="checkbox"/> No
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	AP1					<input type="checkbox"/> Yes <input type="checkbox"/> No
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)						
<i>MLK</i>	AR	<u>1</u>	Miyazaki, H., et al., "Glial Cell Lin-Derived Neurotrophic Factor Protects Against Delayed Neuronal Death After Transient Forebrain Ischemia In Rats", <u>Neuroscience</u> , 89 (3), 643-647 (1999)			
	AS	<u>1</u>				
	AT	<u>1</u>				
EXAMINER <i>S. Kelly</i>			DATE CONSIDERED	<i>4/12/01</i>		
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FORM PTO 1449 O INFORMATION DISCLOSURE STATEMENT AUG 28 2003		ATTY. DOCKET NO. 4001-0003CIP	APPLICATION NO. 09/843,922
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AP1						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

RMK	AR	1	Oomura, Y., "Physiological Effects of Endogenous Satiety Substances on Brain," Inst. Of Bio-Active Science, Nippon Zoki Pharmaceutical (1998); 20: 567-575 (with English explanation).
	AS	1	
	AT	1	
EXAMINER	<i>B. Kelly</i>		DATE CONSIDERED
	4/12/04		
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OCT 22 2003
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U.S. PATENT DOCUMENTS

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AP1						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

RMK	AR	1	Wu P., et al., "Sendai virosomal infusion of an adeno-associated virus derived construct containing neuropeptide Y into primary rat brain cultures," Neuroscience Letters 1995; 190: 73-76.
	AS	1	
	AT	1	

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4/12/04

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